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TITLE: RECURRENT TUMOR OF THE MAIN BRONCHUS SUCCESSFULLY MANAGED WITH THE PALEOLITHIC KETOGENIC DIET

AUTHORS:

Tóth, Csaba¹, MD

Clemens, Zsófia², PhD

AFFILIATIONS:

¹medical director, Paleomedicina Hungary Ltd. Evolutionary Medicine Working Group, Budapest, Hungary

²senior researcher, Neurological Department, University of Pécs, Pécs, Hungary;
Paleomedicina Hungary Ltd. Evolutionary Medicine Working Group, Budapest, Hungary,
clemenszsofia@gmail.com

CORRESPONDING AUTHOR DETAILS

Zsófia Clemens, Department of Neurology, University of Pécs, Rét u. 2, H-7623, Pécs, Hungary
Email: clemenszsofia@gmail.com

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SUMMARY

Lung cancer is a leading cause of cancer-related death worldwide. Overall, prognosis remains poor with a 5-year survival of 17%. Surgical treatment prolongs survival but chemotherapy and radiotherapy provide little benefit. High fat / low carbohydrate ketogenic diets have repeatedly been proposed as an alternative approach to cancer treatment. There is much evidence supporting the ketogenic diet coming from studies in animals and cell cultures. However, in humans only sparse data are available on the effectiveness of the ketogenic diet in cancer. Here we report of a patient with recurrent bronchial tumor successfully managed with a modified version of the classical ketogenic diet, we refer to as the paleolithic ketogenic diet. This diet not only produces ketosis but restricts food not available in pre-agricultural times, thus humans are not evolutionary adapted to such as grains, dairy and vegetable oil. These foods have previously been suggested to contribute to degenerative diseases including cancer. The diet of our patient consisted of meat, fat, offal and eggs with a 2:1 fat:protein ratio. Our patient, strictly adhering to the diet, reported a continuous improvement in her breathing symptoms while on the paleolithic ketogenic diet. Follow-up imaging also showed regression in the size of the tumor. Currently, the patient is on the diet for 10 months and free of symptoms. Laboratory parameters remained in the normal range and no side effects of the diet were noted.

TITLE: RECURRENT TUMOR OF THE MAIN BRONCHUS SUCCESSFULLY MANAGED WITH THE PALEOLITHIC KETOGENIC DIET**ABSTRACT****Introduction**

Ketogenic diets have repeatedly been suggested to confer anti-tumor effect. In the literature, however, studies reporting on cancer patients who benefit from the ketogenic diet are sparse.

Case Report

Here we report a case of recurrent bronchial tumor successfully managed with the paleolithic ketogenic diet. Currently the patient is on the paleolithic diet for 10 months. In the first four months she concurrently received chemotherapy but later she was treated with diet alone. The patient reported continuous improvement of her breathing symptoms and imaging data also showed regression of the tumor. The patient strongly adhered to the diet as also assessed by laboratory tests. Currently she is free of lung disease symptoms and no side effects of the diet were noted.

Conclusion

We conclude that the paleolithic ketogenic diet was effective and safe in this case of recurrent bronchial tumor.

Keywords: bronchial cancer, lung cancer, paleolithic ketogenic diet, ketogenic diet, paleolithic diet

**TITLE: RECURRENT TUMOR OF THE MAIN BRONCHUS SUCCESSFULLY MANAGED WITH
THE PALEOLITHIC KETOGENIC DIET****INTRODUCTION**

Ketogenic diets have been shown to confer benefits in several pathological conditions including systemic [1, 2] as well as neurologic [3] disorders. In theoretical papers carbohydrate-restricted diets have repeatedly been suggested as a promising therapeutic approach in tumors [4-7].

However, until now no more than a few studies reported on the use of the ketogenic diet in cancer patients. Case studies with a positive effect include a landmark paper from 1995 [8] reporting long-term survival of two children diagnosed with malignant brain cancer. Another case report indicated halted progression of glioblastoma multiforme while on the ketogenic diet [9]. Two group studies [10, 11] reported stable disease in those cancer patients with sustained ketosis, however both studies were limited in duration with three and one-month follow-up, respectively. In a recent study with patients with recurrent glioblastoma no significant clinical effect was seen [12].

Benefits of the ketogenic diet are often explained evolutionarily [13]. A few studies also report clinical advantages of the human evolutionary diet itself also known as the stone age diet [14] or paleolithic diet [15]. Recently we have published two cases: one with childhood absence epilepsy [16] and another with type 1 diabetes mellitus [17]. Both patients were successfully treated with the paleolithic ketogenic diet and are still disease free. Herein we present a case of bronchial tumor successfully treated with the paleolithic ketogenic diet.

CASE REPORT

The 58-year-old patient presented with shortness of breath, coughing, chest pain and fatigue. Her previous medical history included right upper lobectomy of the lung due to bronchioalveolar carcinoma in 2003. The disease was staged as T2,N0,M0. In 2005 she was diagnosed with myoma and hysterectomy was performed. In 2006 cholecystectomy was performed due to gall stones. In 2013 she was diagnosed with nodular goiter. In recent years the patient had recurrent upper and lower respiratory tract infections. The patient also had asthma bronchiale and high blood pressure. She reported no current and past smoking and alcohol abstinence. She was working as a singing teacher but her respiratory symptoms prevented her from working.

A cervical CT on 13 December 2013 revealed a pleomorphic mass measuring 5 cm in diameter involving the lower segment of the trachea, tracheal bifurcation and the right bronchus. The mass narrowed the right bronchus to one-third. A CT examination of the chest on 23 December 2013 revealed no other abnormalities (Figure1). Only native CT scans were made because patient's thyroid disease prevented the use of contrast medium.

On 19 December 2013 bronchoscopy confirmed imaging data and showed the stenosis of the right main bronchus. The tumor was biopsized and histopathological examination showed non-small cell lung cancer (NSCLC) adenocarcinoma. The patient was staged as T1,N0,M0. The patient was considered as being not eligible for surgery.

At this point the patient consulted with the authors of the present paper who advised the paleolithic ketogenic diet. The patient initiated the diet on 20 January 2014. Her diet consisted of meat, offal, fat and eggs with a fat:protein ratio of at least 2:1. She did not consume vegetables, fruits, vegetable oils, dairy and foods with additives. Coffee consumption was limited to a single coffee a day. No artificial sweeteners were allowed but she was allowed to use small amounts of honey for sweetening coffee. She was also taking 10,000 IU of vitamin D3 daily. No other

supplements were allowed. She regularly checked ketosis using a urinary ketone test which indicated stable ketosis. The patient gave frequent feedbacks on weight, food records and symptoms. Compliance was also checked by frequent laboratory work-ups.

When we first met the patient she was taking seven medications including valsartan, hydrochlorothiazide, allopurinol, nebivolol, aceclofenac, furosemide and potassium chloride. She was also taking a combination of budesonide and formoterol as an inhalation aerosol. Upon diet onset all medications were discontinued promptly except for nebivolol which was discontinued gradually within two weeks.

Along with the diet the patient received four cycles of chemotherapy (bevacizumab, paclitaxel and carboplatin) between 16 January and 30 April 2014. Due to side effects the patient asked for a waiver of further chemotherapy cycles following the fourth cycle.

A control CT on 21 March 2014 showed minor regression in the size of the tumor and an increased diameter of the lumen of the right main bronchus (Figure 1). To control for possible metastases magnetic resonance imaging (MRI) of the scull and a whole-body bone scintigraphy were performed on 15 May 2014 and on 22 May 2014, respectively. These examinations showed no abnormalities.

A CT follow-up performed on 20 May 2014 showed further minor regression (Figure 1). A PET-CT on 04 June 2014 showed pathologic FDG accumulation at the site of the tumor. Outside the tumor no pathological FDG activity nor enlarged lymph nodes were noted.

At the time of diet onset the patient's weight was 78 kg which gradually decreased to 58 kg by October 2014. Thus her BMI decreased from 30.5 to 22.6 within 10 months. Laboratory workup was performed 13 times while on the diet. This showed that glucose levels were between 3.9 and

5.6 mmol/l (mean \pm SD=4.6 \pm 0.5 mmol/l). Urinary ketone was checked seven times by laboratory test and were positive on five occasions. Laboratory assessment showed leukocytopenia and anaemia while on chemotherapy. Following the cessation of chemotherapy cell counts normalized and the elevated erythrocyte sedimentation rate normalized too. On 09 September 2014 laboratory follow up showed that all parameters were within normal ranges (Table 1.).

The patient first indicated improvements in breathing symptoms one month after diet onset. Thereafter symptoms improved gradually and disappeared within six months. Despite being without antihypertensive medication her blood pressure remained normal. Along with this she reported significant improvement in physical well-being and quality of life. Although she reported that the diet was difficult to follow she was able to maintain it on the long term because she was highly motivated. In September 2014 she was able to return to work. Currently she is on the paleolithic ketogenic diet for 10 months. She reported no side effects and is free of disease symptoms.

The patient gave written informed consent for writing this case study.

DISCUSSION

To our knowledge this is a first report of successful treatment of a pulmonary tumor with a low carbohydrate diet. In the literature there is only a single study reporting long-term survival with the classical ketogenic diet used in the case of two children with malignant brain tumor [8]. Short term positive result with the classical ketogenic diet was reported in a case of glioblastoma multiforme [9] and in a mixed cohort of cancer patients in two systematic studies [10, 11]. In two recent studies of glioblastoma patients the ketogenic diet proved to be safe, however clinical benefit remains a question [12, 18].

Lung cancer is a leading cause of cancer death and bronchial tumors constitute 1-2 % of all pulmonary malignancies [19]. Treatment options include surgery, chemotherapy and radiotherapy. In localized tumors surgery, if possible, prolongs survival. However, chemotherapy and radiotherapy provide much less benefit. Overall survival for lung and bronchial tumors remains low with a five-year survival of 17 % [20]. Recent advances in molecular testing methods did neither substantially change outlook of patients with lung cancer.

In experimental models the ketogenic diet has been shown to inhibit growth of tumors [6] and glucose level in mice was shown to proportionally relate to tumor growth [21]. As a parallel in humans, high blood glucose level predicted shorter survival in two studies of brain cancer patients [22, 23]. According to Seyfried cancer can be viewed as a metabolic rather than a genetic disease and therefore tumor growth may be controlled by diet [7]. Such a view is compatible with the original theory of Warburg postulating that tumor growth is driven by insufficient cellular respiration caused by damage to the mitochondria [24]. Due to this metabolic failure tumor cells largely depend on glucose for energy while incapable of using ketones.

The paleolithic ketogenic diet used in our patient not only induces ketosis but restricts foods not available in pre-agricultural times thus humans are not evolutionary adapted to. The paleolithic ketogenic diet used in our patient and in the two cases reported previously [16, 17] closely resembles to the meat-fat based diet originally proposed by gastroenterologist Voegtlin [14]. Voegtlin [14] and later Eaton and Conner [25] as well as Cordain [15] and Lindeberg [26] suggested that western type diet predominated by neolithic foods contribute to the development of degenerative diseases including cancer. In intervention studies the paleolithic diet has been shown to provide metabolic advantages in patients with metabolic syndrome and type 2 diabetes [28-30]. The classical form of the ketogenic diet has long been used in epilepsy [31]. A few reports extend the advantages of ketogenic diets to systemic diseases [1, 2, 32].

Here we report a case of a recurrent bronchial cancer where we successfully applied the paleolithic ketogenic diet. Concurrent with the diet the patient received four cycles of chemotherapy but refused further cycles because of side effects. Thereafter she was treated with the paleolithic ketogenic diet only. Thus she received no chemotherapy in the last seven months.

Prognosis is poor for patients with recurrent lung cancer and for those not able to have surgery [33]. Given that chemotherapy provide little clinical benefit [34] we believe that in our patient positive results may be due diet therapy rather than chemotherapy.

The patient continuously improved while on the diet. Along with this consecutive imaging data showed regression of the tumor. The patient reported sustained ketosis as indicated by self-measurement by urinary ketone strips as well as urinary laboratory tests. Accordingly, the patient consistently showed low glucose levels on laboratory blood tests indicating strict adherence to the diet. At diet onset she was able to discontinue her seven medications she was prescribed before. Her laboratory parameters including lipid profile and uric acid remained in the normal range while on the diet. During the four months of chemotherapy blood cell counts decreased but increased to normal levels when stopping chemotherapy.

Despite discontinuing antihypertensive medication at diet onset, the patient reported having consistently normal blood pressure. Contrary to the usual belief that patients without a gall bladder are at risk when put on a high fat diet, our patient did not report gastrointestinal symptoms.

At the time of writing this publication the patient is on the paleolithic ketogenic diet for 10 months, reports neither side effects nor lung disease symptoms. Her fatigue resolved and she was able to return to work in September 2014. She is reporting an excellent quality of life.

CONCLUSION

Cancer experts are generally skeptical regarding dietary approaches. Certainly, this is due to the relative few cases with long-term positive outcomes in the literature. However, another reason may be that the ketogenic diet in its classical form, is indeed limited in effectiveness. We suggest that the paleolithic ketogenic diet may be advantageous over the classical form of the ketogenic diet. The paleolithic ketogenic diet may be remedial by multiple mechanisms such as inducing ketosis as well as restricting “non-paleolithic” foods that may boost cancer growth [15].

CONFLICT OF INTEREST

Authors declare no conflict of interest.

AUTHOR'S CONTRIBUTIONS

Csaba Tóth

Group 1 - Conception and design, Acquisition of data, Analysis and interpretation of data

Group 2 - Drafting the article, Critical revision of the article

Group 3 - Final approval of the version to be published

Zsófia Clemens

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TABLES

Table 1: Laboratory data shortly before establishing diagnosis on a normal diet with seven medicines (on 29 November 2013) and at 8 months after diet initiation, on the paleolithic-ketogenic diet without medicines (on 09 September 2014). Note that all parameters fall in the normal range while on the paleolithic ketogenic diet. Dash indicates that a given parameter was not measured.

	Normal diet	Paleolithic-ketogenic diet	
Sodium	147	143	mmol/l
Potassium	4.5	4.3	mmol/l
Carbamide	—	8.4	mmol/l
Creatinine	—	72	µmol/l
Glucose	6.4	3.9	mmol/l
Total cholesterol	—	5	mmol/l
HDL cholesterol	—	1.8	mmol/l
LDL cholesterol	—	3.08	mmol/l
Triglyceride	—	0.62	mmol/l
Uric acid	—	307	µmol/l
WBC	6.55	5.32	G/l
RBC	4.02	4.15	T/l
Iron	—	13.5	µmol/l
Hemoglobin	123	128	g/l
GOT	22	26	U/l
GPT	28	35	U/l

GGT	39	57	U/l
eGFR	>60	>60	
CRP	4.2	1.74	mg/l
ESR	—	6	mm/h

Abbreviations: WBC - white blood cell count, RBC - red blood cell count, eGFR - estimated glomerular filtration rate, HDL - high density lipoprotein, LDL – low density lipoprotein, CRP - C-reactive protein, ESR - erythrocyte sedimentation rate

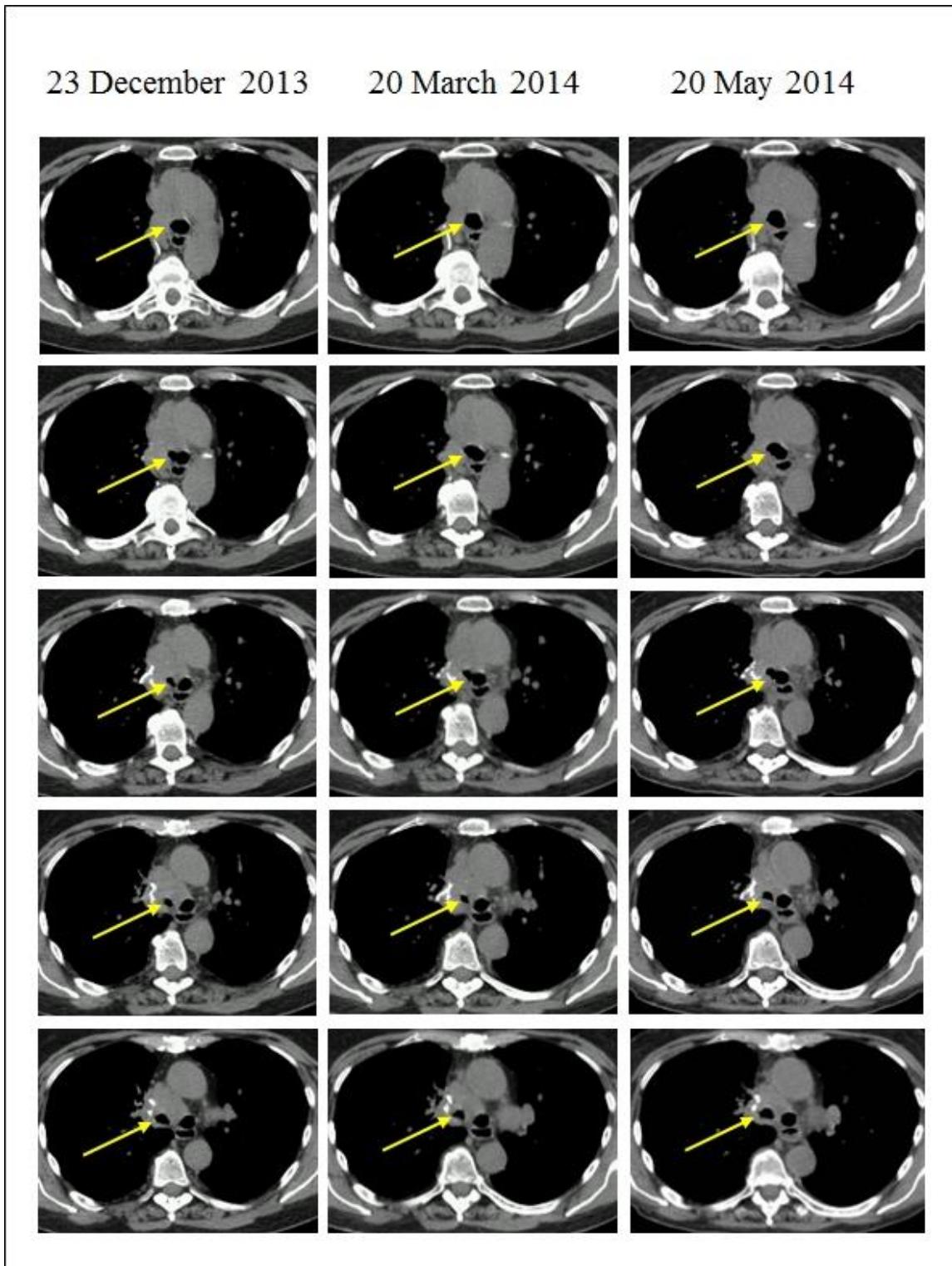


FIGURE LEGENDS

Figure 1: Five consecutive CT slices of the tracheal bifurcation and the main bronchi at three follow-up scans. Note the decreasing trend in the obstruction of the right main bronchus as indicated by increasing diameter of the lumen (arrow) across consecutive CT scans from 23 December 2013 to 20 May 2014. Also, note the decrease in subcutaneous fat as the patient lost weight.